

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 43

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mr. Kelvin R. Westbrook

Mailing Address 8315 Kingsbury Blvd

City

Saint Louis

State

MO

Zip Code

63105-3627

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Stifel, Nicolaus & Compan-  
y, Incorporat

Occupation

Board of Directors

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 0 4 / 2 0 1 0

Transaction ID: 18480204

Amount of Each Receipt this Period

1000.00

Contribution

**B.**

Full Name (Last, First, Middle Initial)

Mr. William Carson

Mailing Address 22 Chads Place

City

Chico

State

CA

Zip Code

95928-9166

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Stifel, Nicolaus & Compan-  
y, Incorporat

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 0 5 / 2 0 1 0

Transaction ID: 18481997

Amount of Each Receipt this Period

250.00

Contribution

**C.**

Full Name (Last, First, Middle Initial)

Mr. John W. Walden

Mailing Address 3218 Hilton Avenue

City

Columbus

State

GA

Zip Code

31906-1036

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Stifel, Nicolaus & Compan-  
y, Incorporat

Occupation

Senior Vice President/Investments

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 0 5 / 2 0 1 0

Transaction ID: 18481998

Amount of Each Receipt this Period

250.00

Contribution

**SUBTOTAL** of Receipts This Page (optional) .....

1500.00

**TOTAL** This Period (last page this line number only) .....